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| APPLICATION NO.  | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/522,082   | 01/21/2005  | Ulrich Clemens Dahn  | LU 6039 (US)        | 7572             |
| 34872 7590 01/05/2010<br>BASELL USA ÎNC.<br>NEWTOWN SQUARE CENTER<br>3801 WEST C'HESTER PIKE, BILDG, B<br>NEWTOWN SQUARE, PA 19703 |             |                      | EXAMINER            |                  |
|  |             |                      | NUTTER, NATHAN M    |                  |
|  |             |                      | ART UNIT            | PAPER NUMBER     |
|  |             | 1796                 |                     |                  |
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|  |             |                      | 01/05/2010          | PAPER            |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

# Application No. Applicant(s) 10/522.082 DAHN ET AL. Office Action Summary Examiner Art Unit Nathan M. Nutter 1796 -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --Period for Reply A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS. WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication. If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). Status 1) Responsive to communication(s) filed on 06 October 2009. 2a) This action is FINAL. 2b) This action is non-final. 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213. Disposition of Claims 4) Claim(s) 1.2.4.5.8.10-12 and 14-19 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) Claim(s) \_\_\_\_\_ is/are allowed. 6) Claim(s) 1,2,4,5,8,10-12 and 14-19 is/are rejected. 7) Claim(s) \_\_\_\_\_ is/are objected to. 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement. Application Papers 9) The specification is objected to by the Examiner. 10) The drawing(s) filed on is/are; a) accepted or b) objected to by the Examiner. Applicant may not request that any objection to the drawing(s) be held in abevance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d). 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152. Priority under 35 U.S.C. § 119 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some \* c) None of: Certified copies of the priority documents have been received. 2. Certified copies of the priority documents have been received in Application No. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)). \* See the attached detailed Office action for a list of the certified copies not received. Attachment(s) 1) Notice of References Cited (PTO-892) 4) Interview Summary (PTO-413) Paper No(s)/Mail Date. Notice of Draftsperson's Patent Drawing Review (PTO-948)

information Disclosure Statement(s) (PTO/S5/06)
Paper No(s)/Mail Date \_\_\_\_\_\_.

5) Notice of Informal Patent Application

6) Other:

Application/Control Number: 10/522,082 Page 2

Art Unit: 1796

#### DETAILED ACTION

# Claim Rejections - 35 USC § 112

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 1, 2, 4, 5, 8, 10-12, 14 and 15 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention.

The recitation of "more than 97% (by weight)" in reference to the ethylene content of the copolymer produced in the second stage has not been shown as being supported by the Specification, as originally filed.

# Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form

the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 16-19 are rejected under 35 U.S.C. 102(b) as being anticipated by Ueda et al (EP 0 704 463).

Application/Control Number: 10/522,082

Art Unit: 1796

Note paragraphs [0008], [0100]-[0112], [-126]-[0130], [0136]-[0145] and [0149]-[0150].

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 16-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ueda et al (EP 0 704 463).

The reference to Ueda et al teaches the production of a polyolefin composition that may comprise a propylene homopolymer with an ethylene copolymer having more an ethylene content that may be greater than 95% by weight paragraph [0145], as recited herein. The reference teaches addition of the third resin, recited in claims 7 and 14 at paragraphs [0126] et seq.. The reference teaches the polymerizations to occur in the gas phase and under pressures and temperatures that embrace those taught herein. See paragraphs [0107] and [0143]. The order of the steps may be changeable as taught by the reference at paragraph [0008]. Further, the crystallinity of the third resin component, due to the relative % by weight amounts of comonomer would be expected to produce a polymer having a higher branching distribution, and thus, be less crystalline. Nothing unexpected has been shown on the record.

# Response to Arguments

Applicant's arguments filed 6 October 2009 have been fully considered but they are not persuasive.

With regard to the rejection of claims 1, 2, 4, 5, 8, 10-12, 14 and 15 under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement, applicant opines voluminously with case law and a reference to page 4 (lines 8-11) of the Specification. That passage reads:

The ethylene polymers produced in the second polymerization stage are preferably ethylene copolymers comprising at least 90% by weight, preferably from 95% to 99.5% by weight of ethylene and no more than 10% by weight, preferably from 0.5% to 5% by weight of comonomers.

Nowhere is the limitation of "more than 97% to 99.5% by weight" in reference to the ethylene content shown. Since there is no direct recitation of either "more than 97%" or even "97%" as an endpoint, applicants have failed to show the written description thereof. The Examiner has not been able to find the range or even a disclosure that indicates such. As such, the case law applicants rely upon is not properly applicable herein. It is pointed out that by applicants' reasoning, the range of "95% to 97%" could as easily have been claimed providing a separate, distinct range. Neither range has been shown to be supported by the written description. Applicants have not provided sufficient reasoning either by case law or by example to arbitrarily choose the range recited. The rejection is being maintained.

With regard to the rejection of claims 16-19 under 35 U.S.C. 102(b) as being anticipated by Ueda et al (EP 0 704 463), applicants have not shown the basic and

Application/Control Number: 10/522,082

Art Unit: 1796

novel characteristics of the composition to be affected, as the steps are shown by the reference.

For the purposes of searching for and applying prior art under 35 U.S.C. 102 and 103, absent a clear indication in the specification or claims of what the basic and novel characteristics actually are, "consisting essentially of" will be construed as equivalent to "comprising." See, e.g., PPG, 156 F.3d at 1355, 48 USPQ2d at 1355 ("PPG could have defined the scope of the phrase consisting essentially of for purposes of its patent by making clear in its specification what it regarded as constituting a material change in the basic and novel characteristics of the invention."). See also AK Steel Corp. v. Sollac, 344 F.3d 1234, 1240-41,68 USPQ2d 1280, 1283-84 (Fed. Cir. 2003). Note 2111.03 [R-3] Transitional Phrases. Applicants have not established what "consisting essentially of" may exclude/include.

With regard to the rejection of claims 16-19 under 35 U.S.C. 103(a) as being unpatentable over Ueda et al (EP 0 704 463), applicants have not shown the basic and novel characteristics of the composition to be affected.

For the purposes of searching for and applying prior art under 35 U.S.C. 102 and 103, absent a clear indication in the specification or claims of what the basic and novel characteristics actually are, "consisting essentially of" will be construed as equivalent to "comprising." See, e.g., PPG, 156 F.3d at 1355, 48 USPQ2d at 1355 ("PPG could have defined the scope of the phrase consisting essentially of for purposes of its patent by making clear in its specification what it regarded as constituting a material change in the

Application/Control Number: 10/522,082

Art Unit: 1796

basic and novel characteristics of the invention."). See also AK Steel Corp. v. Sollac, 344 F.3d 1234, 1240-41,68 USPQ2d 1280, 1283-84 (Fed. Cir. 2003). Note 2111.03 [R-3] Transitional Phrases. Applicants have not established what "consisting essentially of" may exclude/include.

Once a reference teaching a product appearing to be substantially identical is made the basis of a rejection and the examiner presents evidence or reasoning tending to show inherency, the burden shifts to the applicant to show an unobvious difference. In re Fitzgerald, 619 F.2d 67, 70, 205 USPQ 594, 596 (CCPA 1980). In re Best, 562 F.2d 1252, 1255, 195 USPQ 430, 433-34 (CCPA 1977). In re Schreiber, 128 F.3d 1473, 1478, 44 USPQ2d 1429, 1432 (Fed. Cir. 1997).

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Art Unit: 1796

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Nathan M. Nutter whose telephone number is 571-272-1076. The examiner can normally be reached on 9:30 a.m.-6:00 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James J. Seidleck can be reached on 571-272-1078. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Nathan M. Nutter/ Primary Examiner, Art Unit 1796

nmn

29 December 2009